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Title of Manuscript

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Effect of 1-year lifestyle intervention with energy-reduced Mediterranean diet and physical activity promotion on the gut metabolome and microbiota: a randomized clinical trial

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1 **Abstract**

2 **Background:** The health benefits of the Mediterranean diet (MedDiet) have been linked to the
3 presence of beneficial gut microbes and related metabolites. However, its impact on the fecal
4 metabolome remains poorly understood.

5 **Objective:** Our goal was to investigate the weight loss effects of a 1-year lifestyle intervention
6 based on an energy-reduced MedDiet coupled with physical activity (intervention group),
7 compared to an *ad libitum* MedDiet (control group), on fecal metabolites, fecal microbiota, and
8 their potential association with cardiovascular risk factors

9 **Methods:** A total of 400 participants (200 from each study group), aged 55-75 years, and at high
10 cardiovascular risk, were included. Dietary and lifestyle information, anthropometric
11 measurements, blood biochemical parameters, and stool samples were collected at baseline and
12 after 1 year of follow-up. Liquid chromatography-tandem mass spectrometry was used to profile
13 endogenous fecal metabolites, and 16S amplicon sequencing was employed to profile the fecal
14 microbiota.

15 **Results:** Compared to the control group, the intervention group exhibited greater weight loss and
16 improvement in various cardiovascular risk factors. We identified intervention effects on four stool
17 metabolites and subnetworks primarily composed of bile acids, ceramides, and sphingosines, fatty
18 acids, carnitines, nucleotides, and metabolites of purine and the Krebs cycle. Some of these were
19 associated with changes in several cardiovascular risk factors. Additionally, we observed a
20 reduction in the abundance of the genera *Eubacterium Hallii* group and *Dorea*, and an increase in
21 alpha diversity in the intervention group after 1 year of follow-up. Changes in the intervention-
22 related microbiota profiles were also associated with alterations in different fecal metabolite
23 subnetworks and some cardiovascular risk factors.

24 **Conclusions:** An intervention based on an energy-reduced MedDiet and physical activity
25 promotion, compared with an *ad libitum* MedDiet, was associated with improvements in
26 cardiometabolic risk factors, potentially through modulation of the fecal microbiota and
27 metabolome.

Abbreviations:

3-MAA, 3-methyl-adipic acid
BMI, body mass index; FDR, false discovery rate
CG, control group
CVD, cardiovascular disease
DPA, docosapentaenoic acid
FDR, false discovery rate
HOMA-IR, homeostasis model assessment of insulin resistance
IG, intervention group
LDL, low-density lipoprotein
LC-MS, liquid chromatography-tandem mass spectrometry
MET, metabolic equivalent of tasks
MEDAS, Mediterranean Diet Adherence Screener
MedDiet, Mediterranean diet
PCoA, principal coordinate analysis
PERMANOVA, permutational multivariate analysis of variance
PREDIMED, PREvención con Dieta MEDiterránea
PUFA, polyunsaturated fatty acids
WGCNA, Weighted gene co-expression network analysis

28 **Clinical Trial Registry number** **ISRCTN89898870**
29 **(<https://doi.org/10.1186/ISRCTN89898870>)**

30 **Keywords:** Lifestyle intervention; Mediterranean diet; cardiocascular risk factor; metabolic
31 syndrome; fecal microbiota; fecal metabolome

32 **Introduction**

33 The traditional Mediterranean diet (MedDiet) is characterized by a high intake of vegetables, fruits,
34 legumes, whole cereals, and nuts; moderate consumption of fish and seafood; moderate-low
35 consumption of dairy products; low consumption of meat and meat products; moderate alcohol

36 intake (in the form of red wine during meals); and the use of olive oil as the main source of fat (1).
37 It has been widely demonstrated that the MedDiet pattern represents a nutritional strategy with
38 significant beneficial effects for the prevention of cardiovascular diseases (CVD) (2), obesity (3),
39 and related metabolic consequences (4), and reducing all-cause mortality (5).
40 Greater adherence to the MedDiet has also been positively associated with beneficial gut bacteria
41 and derived microbiota-related metabolites (6). These effects have been partially explained by the
42 increase of fiber-degrading species and anti-inflammatory responses in the human body (7).
43 However, the effect of the MedDiet on gut microbiota and plasma metabolome is heterogenous
44 across the studies and the potential effects on cardiovascular risk factors remain unsettled (8,9).
45 Blood metabolome is commonly used in human studies to explore the associations of gut
46 microbiota-derived metabolites with cardiometabolic diseases. Combining the results of plasma
47 metabolomics and 16S sequencing, it is possible to identify specific networks that suggest an
48 interplay between diet, circulating metabolites, and gut microbiota (10). For instance, higher
49 adherence to the MedDiet improved postprandial glucose metabolism and insulin sensitivity in
50 subjects with obesity/overweight possibly mediated by gut microbiota metabolites, such as butyric
51 acid derived from the fermentation of dietary fiber in the colon (11). Although the effect of diet on
52 gut microbiota and plasma or urine metabolites and its relationship with cardiovascular risk factors
53 has been reported by different studies, few of them have been focused on the fecal metabolome.
54 In participants with obesity and overweight exposed to the MedDiet intervention, a decrease in
55 plasma and urine levels of carnitine, and significant reductions in plasma cholesterol and fecal bile
56 acids were reported (12). Additionally, the metagenomic analysis showed increased levels of the
57 fiber-degrading bacteria and genes for microbial carbohydrate degradation linked to butyrate
58 metabolism (12).

59 After 2 months on a MedDiet intervention, participants with metabolic syndrome showed
60 enrichment in gut bacterial genera related to bile acid metabolism and increased levels of fecal
61 cadaverine and these changes were associated with an improvement in insulin sensitivity (13).
62 Even if the effects of the MedDiet in reducing the risk of numerous non-communicable diseases
63 have been described, more studies are needed to help understand the potential effects using a more
64 detailed examination of microbes and metabolites (9), especially considering recent findings
65 highlighting potential difficulties when inferring microbiome-cardiometabolic disease
66 associations from either blood or fecal metabolome data (8).
67 Hence, within the framework of the PREDIMED (PREvención con DIeta MEDiterránea)-Plus
68 randomized trial, we explored the effects of a 1-year intensive lifestyle intervention based on an
69 energy-reduced MedDiet, physical activity, and behavioral support (Intervention Group; IG)
70 versus an *ad libitum* MedDiet (Control Group; CG), on fecal metabolites and fecal microbiota of
71 400 individuals with overweight/obesity and metabolic syndrome.

72 **Methods**

73 **Participants and study design**

74 The present study was conducted within the frame of the PREDIMED-Plus trial, with further
75 details provided in the Supplementary material.

76 This study encompasses the analysis of a subsample of 400 participants (CG, n = 200; IG, n = 200)
77 from the PREDIMED-Plus recruiting centers of Alicante, Barcelona, Reus, and Valencia, with
78 available fecal microbiota 16S data and fecal metabolomics data at both time points (baseline and
79 1-year of intervention) to evaluate the effect of 1-year lifestyle intervention on fecal metabolome
80 and microbiota.

81 Intervention

82 The PREDIMED-Plus intervention was designed to last six years plus two years of follow-up.
83 Participants randomized in the IG were trained by a dietitian to modify their lifestyle through an
84 er-MedDiet (energy reduction of 30% of individual estimated energy requirements) and increase
85 their physical activity to reduce their body weight (14). IG participants received lifestyle
86 recommendations (related to diet and physical activity) and behavioral support through a face-to-
87 face educational program. In addition, during the first year of the intervention, IG participants
88 attended two monthly visits (one group session and one individual session) and received one
89 monthly phone call.

90 A 17-item questionnaire was used to assess adherence to the er-MedDiet (15). This 17-item
91 questionnaire is a modified version of the previously validated 14-item Mediterranean Diet
92 Adherence Screener (MEDAS) questionnaire (16). Specifically, this modified questionnaire limits
93 the consumption of the less recommended food groups for weight loss (i.e., red and processed
94 meats, butter and margarine, sugary drinks, and refined cereals).

95 All participants were also encouraged to increase their usual physical activity by recommending
96 brisk walking for at least 45 min/day or equivalent activity and performing specific exercises to
97 increase strength, balance, and flexibility (aim to increase moderate-to-vigorous physical activity
98 ≥ 150 min/week). These activities and sedentary behavior were evaluated with questionnaires
99 validated for the Spanish population and administered periodically (17). Total physical activity
100 was calculated in metabolic equivalent of tasks (MET) min/week and sedentary behavior in h/day
101 as previously described (18). Participants in the CG received recommendations to improve their
102 adherence to the MedDiet in twice-a-year group sessions and did not receive recommendations to

103 increase physical activity. A schematic representation of the PREDIMED-Plus intervention is
104 reported in Figure 1.

105 **Clinical variables, anthropometric measurements, and blood biochemistry**

106 Detailed information regarding dietary assessments, collection of non-dietary variables,
107 anthropometric measurements, and blood biochemical parameters is provided in the
108 supplementary material.

109 **Stool samples collection**

110 Stool samples at the baseline visit and 1 year were collected by the participants in a sterilized
111 airtight flask. They were instructed to bring the sample to the study center within 12 hours of
112 excretion under refrigerated conditions (i.e., to be kept frozen at -20°C at home until delivery to
113 the laboratory). Participants who were using antibiotics or pre/probiotics 15 days before sample
114 collection ($n = 4$) were identified and excluded from the final sample size. The stool samples were
115 then divided into 250 mg aliquots and stored at -80°C until analysis.

116 **Fecal metabolomics analyses**

117 Metabolomics analyses of stool samples collected at baseline and after 1 year of follow-up were
118 conducted using a liquid chromatography-tandem mass spectrometry (LC-MS) metabolomics
119 platform. A detailed description is provided in the supplementary material.

120 **Fecal bacterial DNA extraction and 16S amplicon sequencing**

121 A detailed description of the fecal microbial DNA extraction, amplicon libraries preparation, 16S
122 sequencing procedure, and pipeline utilized to obtain the final data is provided in the
123 supplementary material.

124 **Statistical analyses**

125 The baseline clinical characteristics and changes during the follow-up were described according
126 to the study groups. Numerical variables were summarized using means and standard deviations,
127 whereas categorical variables were described as numbers and percentages. Group differences in
128 anthropometric, biochemical, and lifestyle parameters were tested with Student's t-test, and $p <$
129 0.05 was deemed significant in the exploratory analysis.

130 A detailed description of the metabolomics statistical analyses is provided in the supplementary
131 material (Supplementary Methods). Briefly, linear regression models were used to assess
132 differences in 1-year changes in stool metabolites (i.e., the change in the metabolite data over time)
133 between study groups. Weighted gene co-expression network analysis (WGCNA) (19) was used
134 to identify metabolomic sub-networks based on correlation patterns using baseline stool
135 metabolomics data. The associations between the 1-year changes in the subnetworks and the study
136 groups were computed using multivariate linear regression models. In the same way, we assessed
137 the associations between the changes in the four subnetworks that were significantly modified by
138 the intervention and 1-year changes in cardiovascular risk factors, using linear regression models.

139 A detailed description of the microbiota statistical analyses is provided in the supplementary
140 material (Supplementary Methods). Briefly, linear regression models were used to assess the effect
141 of a 1-year PREDIMED-Plus intervention on calculated fecal microbiota alpha diversity indices.

142 The effect of the intervention on fecal microbiota community dissimilarity was assessed with
143 permutational multivariate analysis of variance (PERMANOVA) on the Bray-Curtis distance. Per-
144 feature analysis was performed using the R package MaAsLin2 (20) (version 1.10.0), to detect the
145 intervention effect on taxonomic feature changes over time. The associations between
146 intervention-related fecal microbiota features and fecal metabolites subnetworks were assessed
147 through linear mixed models. In addition, the association between changes in calculated alpha

148 diversity indexes and changes in fecal metabolites subnetworks was assessed through linear
149 regression models.

150 **Results**

151 **General characteristics of the study population**

152 A flowchart of selected participants is represented in Supplementary Figure 1. Participants were
153 selected from four PREDIMED-Plus recruiting centers (Alicante, Barcelona, Reus, and Valencia)
154 and available sequencing data from stool samples ($n = 782$). Participants without available 1-year
155 sequencing data ($n = 125$), low sequencing quality ($n = 26$), and reported antibiotic use ($n = 4$)
156 were excluded. From this subset ($n = 627$), 400 participants were randomly selected by age, sex,
157 body mass index, and study group ($n = 200$ for each study group), and fecal metabolomics analysis
158 was conducted.

159 The general baseline characteristics of the study population according to the PREDIMED-Plus
160 study groups are shown in Table 1. The baseline and changes after a 1-year follow-up in
161 anthropometric, biochemical, and lifestyle parameters according to different PREDIMED-Plus
162 study groups are described in Table 2. Participants included in the IG showed greater weight loss
163 (-4.2 ± 4.8 kg) and lower waist circumference (-4.4 ± 7.4 cm), body mass index (BMI) ($-1.5 \pm$
164 1.8 kg/m²), as well as a total energy intake (-113.9 ± 714.0 kcal) after 1-year of lifestyle
165 intervention compared to the participants in the CG. In addition, the participants in the IG showed
166 a reduction in glycated hemoglobin (-0.1 ± 0.8 % over total) and increased adherence to MedDiet
167 (3.4 ± 4.5) and physical activity (117.3 ± 501.9 METs/day) compared to those in the CG.

168 **Fecal metabolomics and network analysis**

169 Of the 532 fecal metabolites, only four showed significant differences in changes (FDR (false
170 discovery rate) < 0.05) between study groups after 1-year of intervention (Figure 2). Compared to
171 the participants in the CG, the 4,7,10,13,16-docosapentaenoic acid (DPA) (IG mean: -0.40 ± 1.44 ;
172 CG mean: -0.08 ± 1.61) and adrenic acid decreased (IG mean: -0.33 ± 1.20 ; CG mean: $-0.08 \pm$
173 1.33), and oleic acid (IG mean: 0.17 ± 0.94 ; CG mean: -0.10 ± 1.01) and 3-methyl-adipic acid (3-
174 MAA) (IG mean: 0.25 ± 1.11 ; CG mean: 0.02 ± 1.10) increased in those in the IG. In addition,
175 significant differences were observed in another 56 metabolites that disappeared after FDR
176 correction (FDR > 0.05) (Supplementary Table 1).

177 WGCNA grouped 532 baseline metabolites into 16 subnetworks of different sizes (Supplementary
178 Table 2). Grey60 network was the subnetwork with fewer connected metabolites ($n = 5$), while the
179 brown network was the highest connected subnetwork ($n = 265$ metabolites). Four subnetworks
180 (Black, Midnight Blue, Pink, and Salmon) showed statistically significant between-group
181 differences in changes after 1-year of intervention (Table 3). Metabolites selected in the Black
182 subnetwork included mainly ceramides and sphingosines, the Midnight blue subnetwork included
183 purines, the Pink included fatty acids and carnitines, and the Salmon subnetwork included bile
184 acids. Compared to the CG, the participants in the IG showed a decrease in the Black, Midnight
185 blue, and Pink subnetworks. The Salmon subnetwork increased in the IG compared to the CG.

186 The pair-wise partial correlations between metabolites accounting for the metabolites within the
187 same networks were shown in Supplementary Figures 2-5. At baseline, the most connected hub
188 for each subnetwork according to their intramodular connectivity was ceramide 18:1; 02/18:0
189 ($k_{\text{Within}} = 10.72$) for the Black subnetwork; fumaric acid or maleic acid ($k_{\text{Within}} = 2.02$) for the
190 Midnight Blue subnetwork; 4,7,10,13,16-docosapentaenoic acid ($k_{\text{Within}} = 6.68$) for the Pink
191 subnetwork; and glycochenodeoxycholic acid ($k_{\text{Within}} = 4.27$) for the Salmon subnetwork. After

192 1-year of intervention, the main hubs were similar: ceramide 18:1; 02/18:0 (kWithin= 9.60) for the
193 Black subnetwork; 6,8-dihydroxy purine (kWithin= 1.67) for the Midnight blue subnetwork;
194 4,7,10,13,16-docosapentaenoic acid (kWithin= 6.66) for the Pink subnetwork; and
195 glycochenodeoxycholic acid (kWithin= 4.36) for the Salmon subnetwork.

196 1-year changes in the Pink subnetwork were positively associated with 1-year changes in body
197 weight (β : 0.11, 95% CI: 0.01, 0.21), homeostasis model assessment of insulin resistance (HOMA-
198 IR) index (β : 0.12, 95% CI: 0.01, 0.24), insulin (β : 0.11, 95% CI: 0.01, 0.22), and fasting plasma
199 glucose (β : 0.11, 95% CI: 0.01, 0.23), and negatively with changes in low-density lipoprotein
200 (LDL) cholesterol (β : -0.11, 95% CI: -0.21, -0.01). 1-year changes in the Black subnetwork were
201 negatively associated with changes in LDL cholesterol (β : -0.11, 95% CI: -0.20, -0.01) (Figure
202 3).

203 **Microbiota profiles associated with 1-year PREDIMED-Plus intervention**

204 We observed an increase in alpha diversity indexes Chao1 (mean and SD: 5.5 ± 17.5), and
205 Shannon (0.1 ± 0.5) after 1-year of follow-up in participants in the IG compared to those in the
206 CG ($\beta = 6.376$, $p = 0.0005$; $\beta = 0.131$, $p = 0.013$ respectively) (Figure 4). The top 2 axes from
207 principal coordinate analysis (PCoA) calculated over the Bray-Curtis distance explained 36% of
208 the total variance between samples. The cluster based on interventions was not obvious
209 (Supplementary Figure 6). PERMANOVA test based on the Bray-Curtis distance did not show
210 statistically significant differences between study groups after 1-year of lifestyle intervention
211 (Supplementary Table 3).

212 We observed a decrease in the abundance of the *Eubacterium hallii* group (-0.02 ± 1.1) in the IG
213 compared to the CG after 1-year of follow-up ($\beta = -0.365$, FDR = 0.046). We also reported a
214 marginal decrease in the abundance of genus *Dorea* (-0.2 ± 1.2) in the IG compared to the CG (β

215 = -0.346, FDR = 0.169) after 1-year of follow-up (Figure 5). The effect of the intervention on total
216 fecal microbiota genera abundances is shown in Supplementary Table 4.

217 **Associations between intervention-related fecal microbiota profiles, fecal metabolites** 218 **subnetworks, and cardiovascular risk factors**

219 We observed a negative association between 1-year change in metabolite Pink subnetwork and 1-
220 year change in alpha diversity indexes Chao1 ($\beta = -0.0005$, $p = 0.0005$) and Shannon ($\beta = -0.012$,
221 $p = 0.010$) (Figure 6). Furthermore, we observed a positive association between *E. halii* group and
222 *Dorea* genera abundance and metabolites subnetworks Black ($\beta = 0.007$, $p = 0.00007$; $\beta = 0.004$,
223 $p = 0.012$ respectively), Midnight blue ($\beta = 0.007$, $p = 0.00005$; $\beta = 0.060$, $p = 0.0003$ respectively),
224 and Pink ($\beta = 0.004$, $p = 0.037$; $\beta = 0.004$, $p = 0.031$ respectively) (Figure 7).

225 In addition, we observed a positive association between *E. halii* group and *Dorea* genera
226 abundance and changes in body weight ($\beta = 0.158$, $p = 0.004$; $\beta = 0.173$, $p = 0.001$ respectively),
227 waist circumference ($\beta = 0.150$, $p = 0.003$; $\beta = 0.119$, $p = 0.020$ respectively), BMI ($\beta = 0.156$, p
228 $= 0.005$; $\beta = 0.171$, $p = 0.002$ respectively), triglycerides ($\beta = 0.077$, $p = 0.043$; $\beta = 0.121$, $p =$
229 0.002 respectively), insulin ($\beta = 0.104$, $p = 0.007$; $\beta = 0.111$, $p = 0.006$ respectively), and the
230 HOMA-IR index ($\beta = 0.089$, $p = 0.024$; $\beta = 0.103$, $p = 0.012$ respectively) (Figure 8). No
231 association was observed between calculated alpha diversity indexes and changes in
232 cardiovascular risk factors (Supplementary Figure 7).

233 **Discussion**

234 Diet can affect the gut microbiome and interacts with the host (21). The fecal metabolome has
235 been proposed as a functional readout of the gut microbiome (22). Thus, studies with both analyses,
236 fecal metabolome, and microbiome, are essential for the understanding of how dietary

237 interventions influence the metabolism of the host. In the present study, we demonstrated that an
238 intensive intervention based on an er-MedDiet and physical activity promotion, compared to a
239 control *ad libitum* MedDiet, significantly affects both gut microbiota and fecal metabolites with
240 important relationships between them, indicating a possible interplay.

241 As previously described in the context of the PREDIMED-Plus study (23), in the present analysis,
242 we observed that participants allocated to the IG, after 1 year of intervention, showed a greater
243 reduction in adiposity and improvements in lipid profile and markers of glucose metabolism.
244 Within this context, the fecal metabolome analysis has established that two metabolites (DPA and
245 adrenic acid) and three subnetworks (Black, Midnight blue, and Pink) were decreased in the IG
246 compared to the CG, whereas two metabolites (oleic acid and 3-MAA) and one subnetwork
247 (Salmon) were increased in the IG and over time. These differences in gut metabolite changes may
248 reflect the differential effect of the interventions that could result directly from food or its digestion
249 or be ascribed to endogenous host secretions or changes in gut microbiota metabolism.

250 The Black subnetwork was mainly constituted by sphingolipids, the Pink subnetwork was mainly
251 constituted by polyunsaturated fatty acids (PUFAs) and cholesterol esters, and the Midnight blue
252 subnetwork was mainly constituted by metabolites from purine metabolism, the Krebs cycle, and
253 nucleotides. These subnetworks were reduced in the IG compared to the CG. Interestingly, two
254 components of the Pink subnetwork, DPA, a ω 3-PUFA, and adrenic acid, a ω 6-PUFA, also
255 significantly decreased in the IG. DPA acts as an intermediate between eicosapentaenoic and
256 docosapentaenoic acids and can be found in high concentrations in marine foods (typically
257 included in MedDiet), red meat, and milk (24). Plasma and erythrocyte levels of DPA have been
258 reported to decrease after weight loss (25), but we cannot discard that changes in this stool
259 metabolite could be produced by intervention-related changes in the gut microbiota. Adrenic acid

260 is a non-dietary ω 6-PUFA derived from arachidonic acid that to the best of our knowledge has not
261 previously related to changes in gut microbiota. The gut microbiota plays an important role in fatty
262 acid metabolism. Several studies have shown that PUFA can be produced and modulated by the
263 intestinal microbiota and, in turn, the concentration of PUFA can modify the functionality of the
264 microbiota after high-fat diets such as the MedDiet (26,27). Similarly, sphingolipids can be
265 produced endogenously, come directly from food, or be the end-products of microbial metabolism
266 (28). Endogenous sphingolipids are restricted by the breakdown of ceramides (29), so their content
267 in the digestive tract hardly comes from the host. Since the dietary origin of sphingolipids vary
268 considerably (30), and their intestinal absorption change depending on their origin (31), the
269 differences observed between our study groups could be explained by higher consumption of
270 certain foods, such as refined wheat or whole milk, in the CG compared to the IG. Additionally,
271 some bacteria from the *Bacteroides* genus can assimilate and produce sphingolipids that can be
272 absorbed by intestinal epithelial cells and modify the sphingolipids plasma pool (32). Although
273 plasma sphingolipids have been related to impaired glucose metabolism and insulin resistance
274 (33), in our study no such association between this sphingolipid subnetwork and the *Bacteroides*
275 genus was shown. Nevertheless, changes in the Pink subnetwork were positively related to 1-year
276 changes in body weight, HOMA-IR, insulin, and glucose, and inversely associated with changes
277 in LDL cholesterol, suggesting that this network may play an important role in the metabolism of
278 the host.

279 The Salmon subnetwork only included metabolites of bile acids metabolism. Bile acids have a key
280 role in regulating energy metabolism, satiety, and body weight (34), and changes in bile acid
281 metabolism have been reported in the low-grade inflammation status related to obesity and
282 diabetes (35). Animal studies have shown that physical activity induces an increase in biliary bile

283 acid secretion and bile acid concentrations in feces (36), but in adults with obesity, higher
284 adherence to the MedDiet was associated with lower concentrations of bile acids in feces (12) and
285 higher BMI was associated with increased levels of bile acids in plasma (37). Therefore, the effect
286 of interventions on fecal bile acids may reflect higher physical activity and weight loss achieved
287 in the IG. The increase in fecal oleic acid and 3-MAA in the IG could be explained by the higher
288 adherence to the MedDiet achieved by the participants. Oleic acid is a monounsaturated ω 9 fatty
289 acid present mainly in olive oil (38), and the 3-MAA, a methyl-branched fatty acid involved in the
290 catabolism of phytanic acid (39), may be derived from food such as fatty fish or cheese (typical in
291 MedDiet), but also meat.

292 Low gut bacteria diversity has been associated with several diseases (40). A systematic review of
293 observational and randomized clinical trials reported a positive relationship between MedDiet
294 adherence and alpha diversity using data from observational studies (9). However, they also
295 reported inconclusive findings from randomized trials regarding the effects of MedDiet on gut
296 microbiota diversity. In a small study involving adult volunteers living in a Mediterranean area,
297 those with higher adherence showed an increase in microbial richness (41). In line with these
298 findings, we observed an increase in alpha diversity measured using different indexes among the
299 participants in the IG compared to those in the CG. In our study, we observed significant positive
300 effects of the intervention on fecal microbiota richness and diversity after 1 year of follow-up, and
301 the increased alpha diversity secondary to the intervention was associated with one of the fecal
302 metabolite subnetworks identified. Moreover, compared to the CG we observed a decrease in the
303 abundance of *E. hallii* and *Dorea* spp. after 1-year of intervention compared to the CG. The
304 abundance of these bacterial taxa was directly associated with four of the fecal metabolite
305 subnetworks identified and different cardiovascular risk factors. These findings are consistent with

306 those from our previous study which assessed the effects of the intervention in a different
307 subsample of individuals and using another genotyping platform (42).

308 The decrease in the abundance of *E. hallii*, the former name of reclassified *Anaerobutyricum*
309 *soehngenii* (43), can be partially explained by the ability of this bacterium to produce its
310 fermentation end products from lactate, increased levels of which have been described in the small
311 intestine of insulin-resistant subjects, in whom an increased abundance of lactate-producing
312 bacteria have also been reported (44). Lactate is an intermediate in the metabolism of glucose that
313 has been implicated in the pathogenesis of insulin resistance in individuals with obesity (45).
314 Chondonikola and colleagues conducted a controlled trial in which participants were randomized
315 to a 6-month weight maintenance or weight loss intervention, showing the interrelationships
316 among weight loss, glucose metabolism, insulin sensitivity, and lactate concentration (46).
317 Accordingly, we showed that *E. hallii* was positively associated with body weight, waist
318 circumference, BMI, insulin, and HOMA-IR index. It has also been reported that oral
319 administration of *E. hallii* improved insulin sensitivity, increased energy expenditure, increased
320 fecal butyrate concentrations, and modified bile acid metabolism in mice with obesity and diabetes
321 (47). Furthermore, we reported a decrease in the abundance of *Dorea* spp. in fecal samples of
322 participants in the erMedDiet + physical activity intervention. These findings are consistent with
323 our previous findings, which showed an increase in the abundance of *Dorea* within the CG (42).
324 Western dietary pattern was previously associated with a higher abundance of *Dorea* spp. (48).
325 *Dorea* spp. has been found consistently elevated in prediabetes and is positively associated with
326 blood glucose concentrations (49). Consistently, we observed a significant difference in glycated
327 hemoglobin changes between study groups, after 1-year of intervention, and a positive association
328 between this genus, insulin levels, and the HOMA-IR index.

329 These findings have to be interpreted in the context of some limitations. First, given the
330 multifaceted nature of the study intervention, the results cannot be attributed to a single component
331 of the intervention. Second, the participants included in our study are Mediterranean older adults
332 with overweight/obesity and metabolic syndrome. Therefore, the results may not be generalized
333 to other populations outside of this specific context. Third, the nature of 16S sequencing limits
334 taxonomic profiling to genus-level resolution, as the primers used for amplification bind to regions
335 not conserved across all bacteria, not allowing to differentiate between closely related bacteria at
336 species level. This limitation in taxonomic identification also reduces the possibility to infer the
337 functionality of the microbiome.

338 The present study also has some strengths. Although the analysis was conducted in a sample that
339 is not representative of the overall population, it is important to mention that individuals at high
340 risk of cardiometabolic diseases represent an important proportion of the global population and
341 hence our findings are relevant to similar community-dwelling older adults who may benefit from
342 approaches to support good health. In addition, the randomized controlled study design, and the
343 significant differences between the components of the intervention (weight loss, adherence to the
344 MedDiet, and physical activity) allowed us to establish causality and assess the potential effects
345 of the intervention. In addition, as we adjusted for major potential confounders when conducting
346 our analyses, residual confounding is highly reduced. Finally, despite the limitations of 16S
347 sequencing, it is important to mention that it is a very suitable technique to analyze a large number
348 of samples.

349 In conclusion, in this lifestyle intervention-based study, we observed that an energy-reduced
350 MedDiet and physical activity promotion, compared with an *ad libitum* MedDiet, produced
351 significant changes in gut metabolomics and microbiota in a Mediterranean population of older

352 adults with overweight/obesity and metabolic syndrome and these changes were related to changes
353 in several cardiovascular risk factors. These findings highlight that even with similar healthy
354 dietary patterns, the high intensity of the dietary intervention and weight-loss intervention
355 components, such as caloric restriction and physical activity, could have significant benefits on
356 CVD risk factors, potentially through modulation of the fecal microbiota and metabolome.

357 The impact of our findings extends beyond individual health outcomes. Investigating the effects
358 of Mediterranean diet and physical activity interventions on the gut microbiome provides insights
359 into the underlying mechanisms by which these interventions improve cardiometabolic
360 biomarkers. Understanding the role of the gut microbiome in mediating the health benefits of these
361 interventions can inform more targeted and effective public health strategies. Elucidating the
362 relationship between diet, physical activity, and the gut microbiome can contribute to the
363 development of personalized health recommendations. Public health policies and interventions can
364 be tailored to individual microbiome profiles, allowing for more precise and effective strategies
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379

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383 and approved the final version to be published.

384 **Data Availability:**

385 The datasets generated and analyzed during the current study are not publicly
386 available due to data regulations and for ethical reasons, considering that this
387 information might compromise research participants' consent because our
388 participants only gave their consent for the use of their data by the original team
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Table 1. General baseline characteristics of the study population according to the PREDIMED-Plus study groups

	CG (n = 200)	IG (n = 200)
Sex, women, n (%)	88 (44.0)	88 (44.0)
Age, years, mean \pm SD	64.7 \pm 5.0	64.5 \pm 4.9

Recruiting center, n (%)		
Alicante	49 (24.5)	42 (21.0)
Barcelona	20 (10.0)	26 (13.0)
Reus	114 (57.0)	110 (55.0)
Valencia	17 (8.5)	22 (11.0)
Education, n (%)		
Primary school or less	107 (53.5)	111 (55.5)
High School	51 (25.5)	58 (29.0)
College	42 (21.0)	31 (15.5)
Civil status, n (%)		
Married	163 (81.5)	146 (73.0)
Single/divorced/separated	22 (11.0)	35 (17.5)
Widower	15 (7.5)	19 (9.5)
Smoke status, n (%)		
Never smoker	93 (46.5)	99 (49.5)
Former smoker	81 (40.5)	76 (38.0)
Smoker	26 (13.0)	25 (12.5)
Disease prevalence, n (%)		
Overweight (BMI=25-29.9 kg/m ²)	144 (72.0)	157 (78.5)
Obesity (BMI ≥ 30 kg/m ²)	56 (28.0)	43 (21.5)
Hypertension prevalence	162 (81.0)	163 (81.5)
Type 2 diabetes prevalence	44 (22.0)	44 (22.0)

SD, standard deviation; CG, control group; IG, intervention group; BMI, body mass index.

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Table 2. Baseline and 1-year changes in anthropometric, biochemical, and lifestyle parameters according to the PREDIMED-Plus study groups.

	CG n = 200	IG n = 200	Between group	
			difference (CG-IG)	p-value
Body weight, kg				
Baseline	86.7±12.7	88.0±13.4		
1-yr change	-0.8±2.8	-4.9±4.1	-4.2±4.8	<0.001
Waist circumference, cm				
Baseline	107.4±10.3	108.1±10.0		
1-yr change	-1.1±4.1	-5.5±6.2	-4.4±7.4	<0.001
BMI, kg/m ²				
Baseline	32.7±3.6	33.0±3.5		
1-yr change	-0.3±1.1	-1.8±1.5	-1.5±1.8	<0.001
Total cholesterol, mg/dL				
Baseline	201.8±38.8	201.6±36.4		
1-yr change	-0.4±33.9	0.6±30.6	1.0±44.9	0.758
HDL cholesterol, mg/dL				
Baseline	49.0±10.5	49.9±12.3		
1-yr change	2.2±7.7	2.8±7.3	0.6±11.2	0.491
LDL cholesterol, mg/dL				
Baseline	122.2±32.2	121.5±31.6		
1-yr change	-1.0±29.6	-0.3±25.1	0.7±39.9	0.825

Triglycerides, mg/dL

Baseline	169.1±107.6	160.3±93.4		
1-yr change	-3.5±92.9	-11.8±87.0	-8.4±123.8	0.345

FPG, mg/dL

Baseline	116.0±25.1	114.2±22.5		
1-yr change	-4.3±21.0	-3.7±17.7	0.6±27.2	0.770

Insulin, mU/mL

Baseline	19.7±9.7	19.2±11.6		
1-yr change	-1.9±7.6	-2.8±7.5	-0.9±10.8	0.273

HOMA-IR

Baseline	5.8±3.5	5.6±4.1		
1-yr change	-0.7±2.8	-1.0±2.8	-0.2±3.9	0.349

HbA1c, % over total

Baseline	6.0±0.8	6.0±0.8		
1-yr change	0.1±0.6	-0.1±0.4	-0.1±0.8	0.042

Total energy intake, kcal

Baseline	2543.1±550.4	2516.6±592.7		
1-yr change	-141.2±537.4	-255.2±566.4	-113.9±714.0	0.025

MedDiet adherence score

Baseline	8.3±2.5	8.0±2.5		
1-yr change	2.2±2.9	5.6±3.1	3.4±4.5	<0.001

Alcohol intake, g/day

Baseline	11.0±14.5	10.3±11.9		
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1-yr change	-0.6±10.1	-1.7 ± 8.4	-1.1±13.4	0.246
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Physical activity, METs/day

Baseline	367.1±314.3	366.9±329.8		
1-yr change	51.1±299.5	168.4±407.4	117.3±501.9	0.001

CG, control group; IG, intervention group; BMI, body mass index; HDL, high-density lipoprotein; LDL, low-density lipoprotein; FPG, fasting, plasma glucose; HOMA-IR, homeostatic model assessment of insulin resistance; HbA1c, glycated hemoglobin; MedDiet, Mediterranean diet. Data expressed as mean ± standard deviation. Differences between group differences according to the study groups tested with Students' t-test and $p < 0.05$ were deemed significant.

Table 3. Effect of 1-year PREDIMED-Plus intervention on metabolomic subnetworks.

Metabolomic subnetworks	CG (n = 200) Mean±SD	IG (n = 200) Mean±SD	β Coefficient	95%CI
Black	0.006±0.056	-0.006±0.055	-0.012	(-0.020, -0.001)
Blue	-0.005±0.062	0.004±0.060	0.008	(0.000, 0.020)
Brown	-0.001±0.040	0.001±0.043	-0.001	(-0.010, 0.010)
Cyan	-0.002±0.052	0.000±0.042	-0.001	(-0.010, 0.010)
Green	-0.002±0.048	0.002±0.052	0.006	(0.000, 0.010)
Green Yellow	0.001±0.047	-0.002±0.051	-0.005	(-0.010, 0.000)
Grey60	0.004±0.055	-0.003±0.050	-0.005	(-0.010, 0.000)
Light Cyan	-0.002±0.052	0.003±0.053	0.003	(-0.010, 0.010)
Magenta	-0.004±0.059	0.003±0.054	0.002	(-0.010, 0.010)
Midnight Blue	0.005±0.052	-0.005±0.052	-0.011	(-0.020, -0.001)
Pink	0.004±0.050	-0.004±0.048	-0.010	(-0.020, -0.001)
Purple	0.005±0.053	-0.004±0.050	-0.007	(-0.020, 0.000)
Red	-0.002±0.039	0.002±0.038	0.005	(0.000, 0.010)
Salmon	-0.005±0.052	0.005±0.060	0.010	(0.001, 0.020)
Tan	0.000±0.061	-0.001±0.053	-0.005	(-0.010, 0.000)
Yellow	0.004±0.050	-0.004±0.046	-0.008	(-0.020, 0.000)

SD, standard deviation; CG, control group; IG, intervention group. Multivariable linear regression models adjusted for recruiting center (Alicante, Barcelona, Reus, Valencia), smoking status (former smoker, never smoker, smoker), type 2 diabetes status, sex, body mass index (BMI) categories (overweight, BMI=25-29.9 kg/m²; obesity, BMI ≥ 30 kg/m²); age categories (below the median, ≤65 years old; above the median, >65 years old), alcohol intake (g/day²), and hypertension status.

Figure Legend

Figure 1: Schematic representation of the PREDIMED-Plus lifestyle intervention. Participants randomized in the intervention group (n = 200) were exposed to a weight-loss energy-reduced Mediterranean diet (er-MedDiet) and increased physical activity, also attending two additional monthly visits. Participants in the control group (n = 200) received recommendations to improve their adherence to the Mediterranean diet in twice-a-year group sessions and did not receive recommendations to increase physical activity. Diet and physical activity assessments, stool samples for metabolomics and 16S sequencing, cardiovascular risk factors, and anthropometric measurements were collected at baseline and one year of follow-up.

Figure 2: Volcano plot showing the 1-year effect of the PREDIMED-Plus intervention on fecal metabolites. Multivariable linear regression models were adjusted for recruiting center (Alicante, Barcelona, Reus, Valencia), smoking status (former smoker, never smoker, smoker), type 2 diabetes status, sex, body mass index (BMI) categories (overweight, BMI = 25-29.9 kg/m²; obesity, BMI > 30 kg/m²); age categories (below the median, ≤ 65 years old; above the median, > 65 years old), alcohol intake (g/day²), and hypertension status. A false discovery rate (FDR) < 0.05 was considered statistically significant (up dash line).

Figure 3: Associations between 1-year changes in significant metabolite subnetworks and 1-year changes in cardiovascular risk factors. The models were adjusted for recruiting center (Alicante, Barcelona, Reus, Valencia), smoking status (former smoker, never smoker, smoker), type 2 diabetes status, sex, body mass index (BMI) categories (overweight, BMI = 25-29.9 kg/m²; obesity, BMI ≥ 30 kg/m²); age categories (below the median, ≤ 65 years old; above the median, > 65 years old), alcohol intake (g/day²), and hypertension status. **p* < 0.05; ***p* < 0.01. HDL, high-density lipoprotein; LDL, low-density lipoprotein; HOMA-IR, homeostatic model assessment of insulin resistance; HbA1c, glycated hemoglobin.

Figure 4: Effect of PREDIMED-Plus intervention on 1-year changes in alpha diversity indexes Chao1, Shannon, and Simpson. Effects of PREDIMED-Plus intervention tested with linear regression model adjusted for recruiting center (Alicante, Barcelona, Reus, Valencia), smoking status (former smoker, never smoker, smoker), type 2 diabetes status, sex, body mass index (BMI) categories (overweight, BMI = 25-29.9 kg/m²; obesity, BMI ≥ 30 kg/m²); age categories (below the median, ≤ 65 years old; above the median, > 65 years old), alcohol intake (g/day²), hypertension status. $p < 0.05$ deemed as significant. CG, control group; IG, intervention group. Values indicated as mean ± SD.

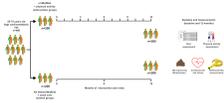
Figure 5: Effect of 1-year PREDIMED-Plus interventions on fecal microbiota taxa abundances. Multivariable association between group*time and fecal microbiota taxonomic features tested with generalized linear models adjusted for recruiting center (Alicante, Barcelona, Reus, Valencia), smoking status (former smoker, never smoker, smoker), type 2 diabetes status, sex, body mass index (BMI) categories (overweight, BMI = 25-29.9 kg/m²; obesity, BMI ≥ 30 kg/m²); age categories (below the median, ≤ 65 years old; above the median, > 65 years old), alcohol intake (g/day²), hypertension status. Participants' IDs are set as random effect parameters. Multiple testing corrections were performed with the Benjamini-Hochberg procedure. Features with FDR < 0.25 were reported. Label "1" indicates group*time = intervention*1-year, "0" otherwise.

Figure 6: Association between 1-year changes in alpha diversity indexes and 1-year changes in fecal metabolites subnetworks. Association tested with linear regression models adjusted for the study group, recruiting center (Alicante, Barcelona, Reus, Valencia), smoking status (former smoker, never smoker, smoker), type 2 diabetes status, sex, body mass index (BMI) categories (overweight, BMI = 25-29.9 kg/m²; obesity, BMI ≥ 30 kg/m²); age categories (below the median,

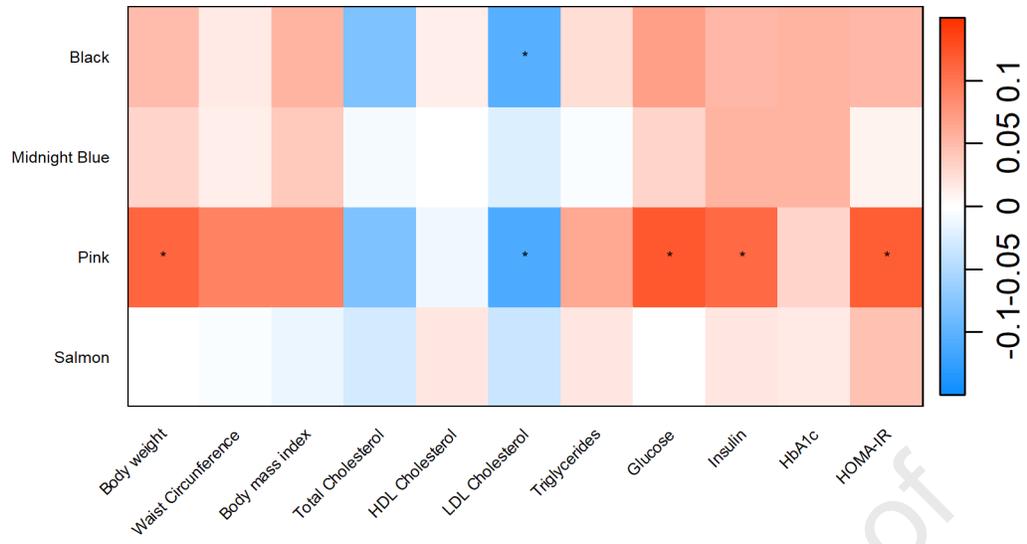
≤ 65 years old; above the median, > 65 years old), alcohol intake (g/day²), and hypertension status. $*p < 0.05$; $**p < 0.01$; $***p < 0.001$.

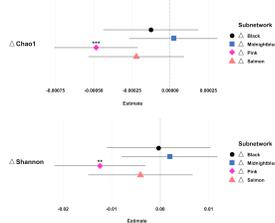
Figure 7: Association between differential abundant taxonomic features and metabolites subnetworks. Association tested with linear mixed models adjusted for study group*time, recruiting center (Alicante, Barcelona, Reus, Valencia), smoking status (former smoker, never smoker, smoker), type 2 diabetes status, sex, body mass index (BMI) categories (overweight, BMI = 25-29.9 kg/m²; obesity, BMI ≥ 30 kg/m²); age categories (below the median, ≤ 65 years old; above the median, > 65 years old), alcohol intake (g/day²), and hypertension status. Participants' IDs are set as random effect parameters. $*p < 0.05$; $**p < 0.01$; $***p < 0.001$.

Figure 8: Heatmap showing the association between intervention-related differential abundant features and changes in cardiovascular risk factors. Association tested with linear mixed models adjusted for study group*time, recruiting center (Alicante, Barcelona, Reus, Valencia), smoking status (former smoker, never smoker, smoker), type 2 diabetes status, sex, body mass index (BMI) categories (overweight, BMI = 25-29.9 kg/m²; obesity, BMI ≥ 30 kg/m²); age categories (below the median, ≤ 65 years old; above the median, > 65 years old), alcohol intake (g/day²), and hypertension status. Participants' IDs are set as random effect parameters. For each cell, colors indicate the association coefficient with cardiovascular risk factors and asterisks denote significance. $*p < 0.05$; $**p < 0.001$. HDL, high-density lipoprotein; LDL, low-density lipoprotein; FPG, fasting, plasma glucose; HbA1c, glycated hemoglobin; HOMA-IR, homeostatic model assessment of insulin resistance.

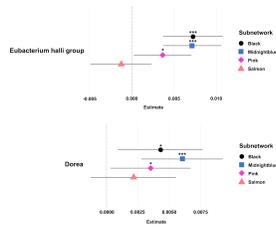


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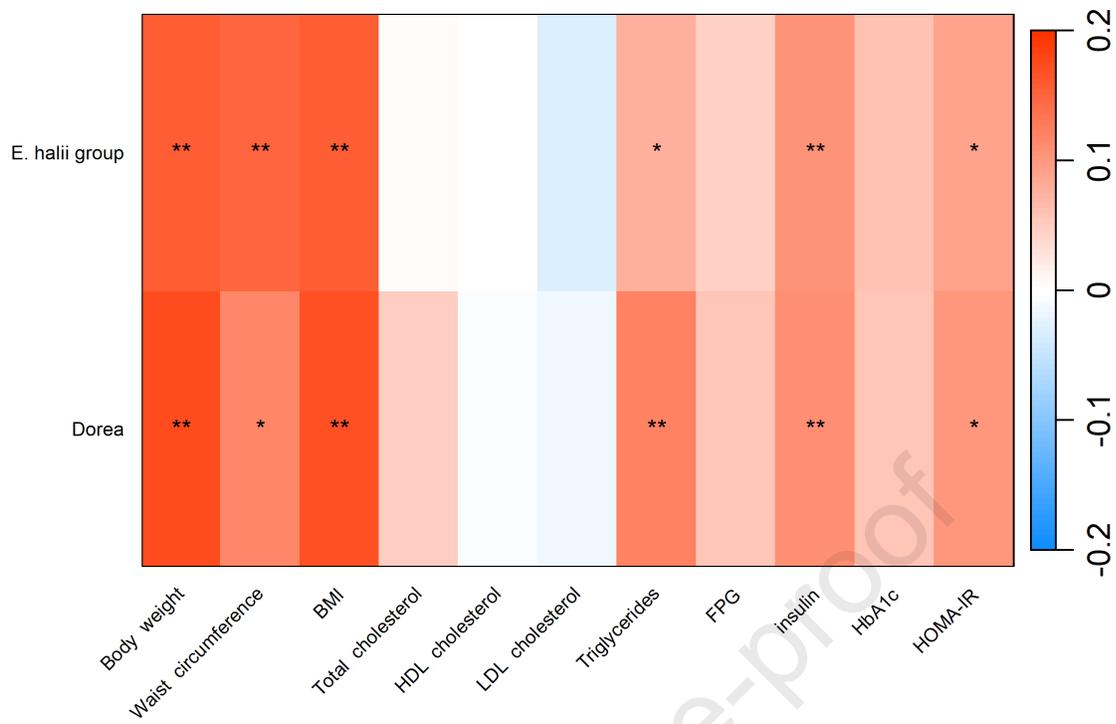


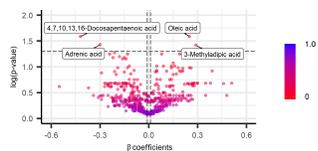


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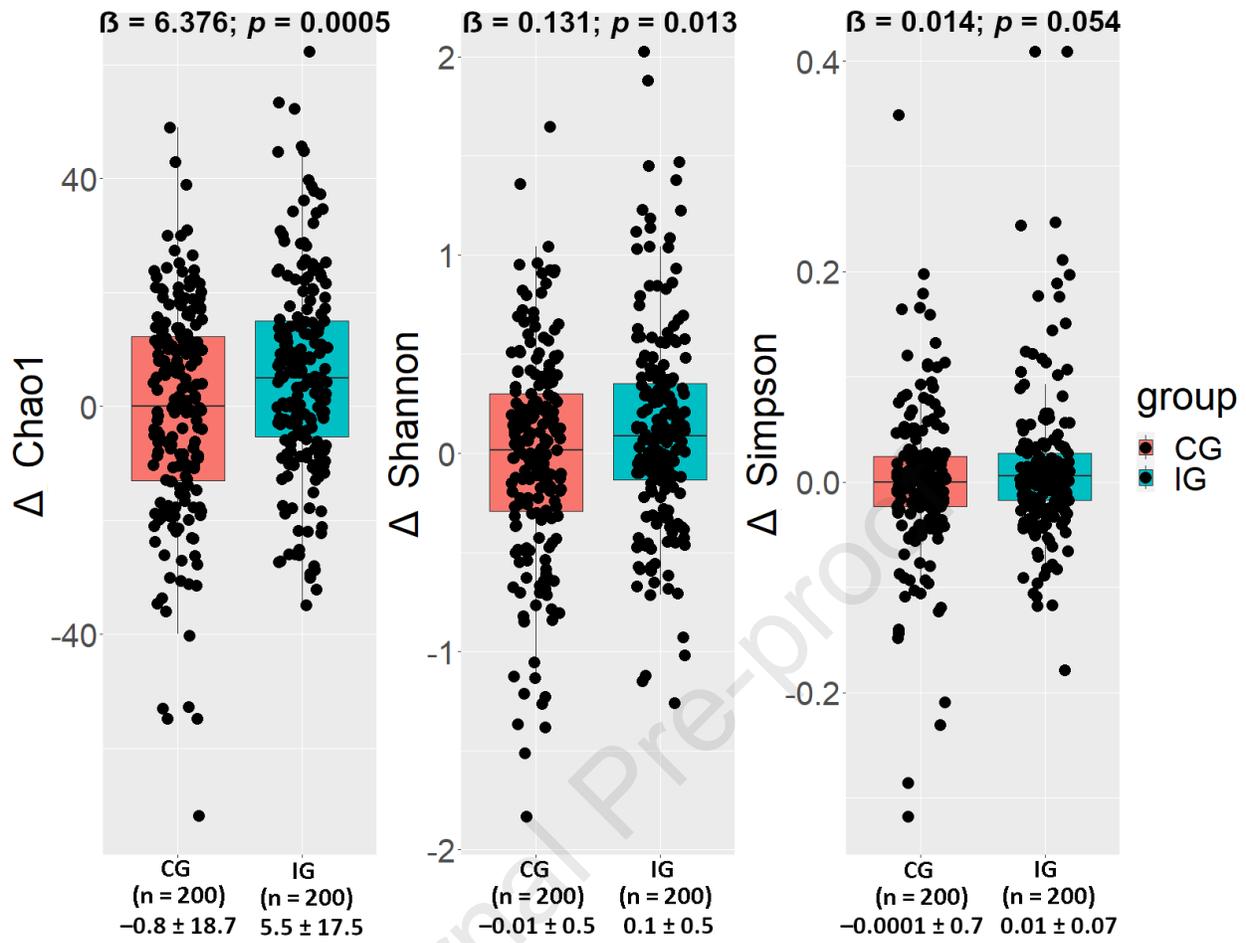


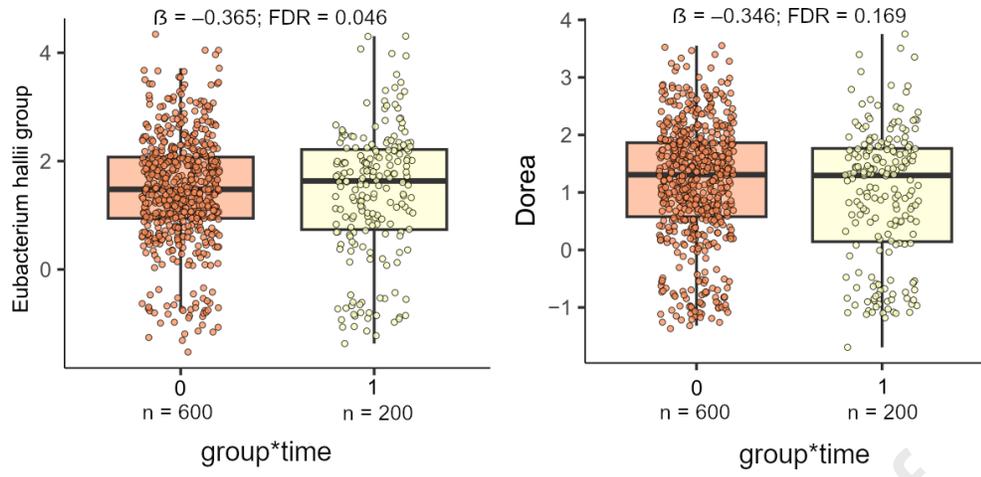
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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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